

09/28/01

Jc978 U.S. PTO

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **92750/63**First Inventor **Jeffrey Lynn Haddox**Title **SYNTHETIC COMPLEMENTARY PEPTIDES AND OPHTHALMOLOGIC  
USES THEREOF**Express Mail Label No. **EL613312445US****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **54**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **1**]

5. Oath or Declaration [Total Pages **3**]

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

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Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☒ paper
- c. ☒ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: **transmittal letter**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. **09/521,365**

Prior application information:

Examiner **A. Davenport**Group Art Unit: **1653**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address belowName **Craig J. Arnold, Esq.**Address **Amster, Rothstein & Ebenstein****90 Park Avenue**City **New York**State **NY**Zip Code **10016**Country **U.S.A.**Telephone **(212)697-5995**Fax **(212)286-0854**

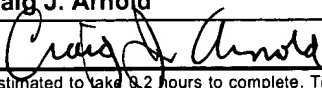
Name (Print/Type)

**Craig J. Arnold**

Registration No. (Attorney/Agent)

**34,287**

Signature



Date

**Sept. 28, 2001**

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the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC  
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# AMSTER, ROTHSTEIN & EBENSTEIN

ATTORNEYS AT LAW

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90 PARK AVENUE

NEW YORK, NEW YORK 10016

TELEPHONE: (212) 697-5995

FACSIMILE: (212) 286-0854

NEAL L. ROSENBERG  
DENISE A. LINDENAUER  
NANCY M. DODDERIDGE  
PATRICK BOLAND\*  
TREBOR LLOYD  
JOSEPH M. CASINO  
JOHN S. ECONOMOU  
MICHAEL V. SOLOMITA  
HOLLY PEKOWSKY  
MICHAEL P. KENNEY  
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ELIE H. GENDLOFF, PH.D.\*

MORTON AMSTER  
DANIEL S. EBENSTEIN  
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ANTHONY F. LO CICERO  
KENNETH P. GEORGE  
ABRAHAM KASDAN, PH.D.  
IRA E. SILFIN  
CHESTER ROTHSTEIN  
CRAIG J. ARNOLD

JESSE ROTHSTEIN (RETIRED)

SENIOR COUNSEL

CHARLES R. MACEDO  
MARK J. ROSENBERG  
KENNETH M. BERNSTEIN

\*NOT ADMITTED IN NEW YORK

September 28, 2001

via Express Mail

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Box Patent Application

"Express Mail" mailing label No. EL6133124451US

Date of Deposit: September 28, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Name: Lisa M. Melanson

Signature: Lisa Melanson

Re: Rule 1.53(b) Continuation Application of  
U.S. Patent Application No. 09/521,365  
Title: SYNTHETIC COMPLEMENTARY PEPTIDES  
AND OPHTHALMOLOGIC USES THEREOF  
Inventors: Jeffrey Lynn Haddox, Roswell Robert Pfister,  
James Edwin Blalock, and Matteo Villain  
Our File: 92750/63

Dear Sir:

Pursuant to 37 C.F.R. § 1.53(b), enclosed please find a Continuation Application for patent, in the names of Jeffrey Lynn Haddox, Roswell Robert Pfister, James Edwin Blalock, and Matteo Villain, and entitled SYNTHETIC COMPLEMENTARY PEPTIDES AND OPHTHALMOLOGIC USES THEREOF, including the following:

1. a Utility Patent Application transmittal sheet (1 page);
2. a copy of the prior Application, including specification (50 pages), claims (3 pages), abstract (1 page), and figure (1 sheet);
3. the Declarations and Powers of Attorney, as originally filed (3 pages);
4. Revocation of Power of Attorney and Appointment of New Power of Attorney documents, as previously filed (6 pages);

September 28, 2001

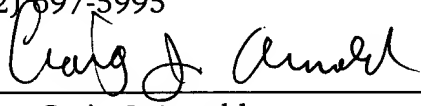
5. a Preliminary Amendment, including Exhibit A;
6. a check in the amount of \$355.00, to cover the filing fee; and
7. a return receipt postcard.

Please acknowledge receipt of the enclosed documents by stamping the enclosed postcard and returning same to us.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN  
Attorneys for Applicants  
90 Park Avenue  
New York, New York 10016  
(212) 697-5995

Dated: September 28, 2001  
New York, New York

By:   
Craig J. Arnold  
Reg. No. 34,287